

10/562016

IAP20 Res'd PCT/PTO 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Masaru YAMAOKA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2005_2002A
Filed December 23, 2005 :
PROGRAM RESERVATION-AND- :
RECORDING APPARATUS, :
INFORMATION-PROVIDING SERVER, : THE COMMISSIONER IS AUTHORIZED
PROGRAM RESERVATION- : TO CHARGE ANY DEFICIENCY IN THE
INFORMATION MANAGEMENT SERVER, : FEES FOR THIS PAPER TO DEPOSIT
PROGRAM RECEPTION APPARATUS, : ACCOUNT NO. 23-0975
AND PROGRAM RESERVATION-AND- :
RECORDING SYSTEM :
[Corresponding to PCT/JP2004/011209
Filed July 29, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

ATTACHMENT D

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart

10/562016

IAP20 Rec'd PTO 23 DEC 2005

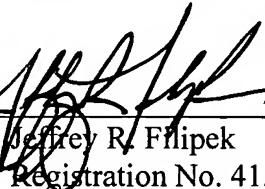
foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ an International Search Report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at pages 1-2,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Masaru YAMAOKA et al.

By



Jeffrey R. Filipek
Registration No. 41,471
Attorney for Applicants

JRF/fs
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
December 23, 2005

10/23 Rec'd PTO 23 DEC 2005

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 23, 2005

ATTY DOCKET NO.
2005_2002ASERIAL NO.
NEW

10/562016

APPLICANT
Masaru YAMAOKA et al.FILING DATE
December 23, 2005

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AD	8-214282	8-20-96	Japan			abstract
	AE	2003-116085	4-18-03	Japan			abstract
	AF	2001-155393	6-8-01	Japan			abstract
	AC	9-233418	9-5-97	Japan			abstract
	AH	2003-32592	1-31-03	Japan			abstract
	AI	9-180291	7-11-97	Japan			abstract
	AJ	10-93936	4-10-98	Japan			abstract
	AK	10-155131	6-9-98	Japan			abstract
	AL	2002-300559	10-11-02	Japan			abstract
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.